



9-28-04

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PATENT  
040230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barany et al. )  
Serial No.: 10/806,835 ) Examiner:  
Filing Date: March 23, 2004 ) Art Unit: 3624

Title: TRANSACTION STRUCTURE FOR ISSUING INFLATION-LINKED SECURITIES

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
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Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08. Copies of the non-U.S. patent references are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

The Commissioner is hereby authorized to charge any fees necessary for consideration of this *Information Disclosure Statement* to Account No. 11-1110.

Respectfully submitted,

Date: Sept. 27, 2004

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